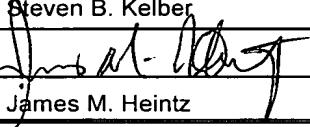


<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>		Attorney Docket No. 3805-018-27	
		First Inventor or Application Identifier Mark Edward KANE	
		Title	METHOD AND SYSTEM FOR ENSURING THAT A TRAIN OPERATOR REMAINS ALERT DURING OPERATION OF THE TRAIN

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents</small>		<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application PO Box 1450 Alexandria, VA 22313-1450	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small> <input checked="" type="checkbox"/> Applicant claims small entity status.		<b>ACCOMPANYING DOCUMENTS</b>	
2. <input type="checkbox"/> Specification	Total Pages <b>31</b>	6. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	
3. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113)	Total Sheets <b>4</b>	7. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney 8. <input type="checkbox"/> English Translation Document (if applicable) 9. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
4. <input type="checkbox"/> Oath or Declaration	Total Pages <b>3</b>	10. <input type="checkbox"/> Preliminary Amendment 11. <input checked="" type="checkbox"/> White Advance Serial No. Postcard	
a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) <small>(for continuation/divisional with box 16 completed)</small>		12. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 13. <input type="checkbox"/> Request for Priority 14. <input type="checkbox"/> List of Inventors' Names and Addresses 15. <input type="checkbox"/> Other:	
5. <input type="checkbox"/> Incorporation By Reference (usable if box 4B is checked) <small>The entire disclosure of the prior application, from which a copy or the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</small>			
6. <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application no.: <small>Prior application information: Examiner: Group Art Unit:</small>			
7. Amend the specification by inserting before the first line the sentence: <input type="checkbox"/> This application is a <input type="checkbox"/> Continuation <input type="checkbox"/> Division <input type="checkbox"/> Continuation-in-part (CIP) <small>of application Serial No. Filed on</small>			
<input type="checkbox"/> This application claims priority of provisional application Serial No. Filed			
<b>18. CORRESPONDENCE ADDRESS</b> Supervisor, Patent Prosecution Services PIPER RUDNICK LLP 1200 Nineteenth Street, N.W. Washington, D.C. 20036-2412 Telephone No. (202) 861-3900 Facsimile No. (202) 223-2085			

Name	Steven B. Kelber	Registration No.		30,073	
Signature		Date	September 29, 2003	Telephone	202-861-3900
Name	James M. Heintz	Registration No.		41,828	

The PTO did not receive the following listed item(s) <u>Page 2 and Page 3 of Oath</u>
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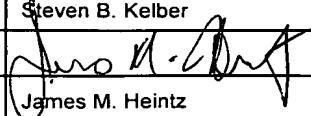
21905 U.S.PTO  
10/671625



# FEE TRANSMITTAL

<b>FEE TRANSMITTAL</b>				Docket No.	3805-018-27	
				Serial No.	New Application	
				Filing Date	Herewith	
				Inventor(s)	Mark Edward KANE, et al.	
				Group Art Unit		
<b>TOTAL AMOUNT OF PAYMENT</b>		<b>\$766.00</b>	Examiner			

1. <input checked="" type="checkbox"/> Applicant claims small entity status. <input type="checkbox"/> Charge any <u>UNDERPAYMENT</u> or credit any <u>OVERPAYMENT</u> in the indicated fees to Deposit Account No. 50-1442. <input type="checkbox"/> Charge the indicated fees to Deposit Account No. 50-1442.								<b>FEE CALCULATION (continued)</b>							
								<b>3. ADDITIONAL FEES</b>							
								Large Entity		Small Entity		Fee Description			
<b>2. Check enclosed.</b>								Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid		
<b>FEE CALCULATION</b>								1051	130	2051	65	Surcharge-late filing fee or oath			
<b>1. BASIC FILING FEE</b>								1052	50	2052	25	Surcharge-late provisional filing fee or cover sheet			
Large Entity		Small Entity		Fee Description				1053	130	1053	130	Non-English specification			
Fee Code	Fee (\$)	Fee Code	Fee (\$)					Fee Paid	1812	2520	1812	2520	Ex parte reexam. fee		
1001	750	2001	375	Utility filing fee				375.00	1251	110	2251	55	1-mo. ext. of time		
1002	330	2002	165	Design filing fee					1252	410	2252	205	2-mo. ext. of time		
1003	520	2003	260	Plant filing fee					1253	930	2253	465	3-mo. ext. of time		
1004	750	2004	375	Reissue filing fee					1254	1450	2254	725	4-mo. ext. of time		
1005	160	2005	80	Provisional filing fee					1255	1970	2255	985	5-mo. ext. of time		
<b>SUBTOTAL (1)</b>					<b>\$375.00</b>			1401	320	2401	160	Notice of Appeal			
<b>2. EXTRA CLAIM FEES</b>								1402	320	2402	160	Appeal Brief			
tot. claims	45	-	20*	=	25	x	\$9	=	225	1403	280	2403	140	Request for Oral Hearing	
ind. claims	6	-	3*	=	3	x	\$42	=	126	1501	1300	2501	650	Utility/Reissue Issue Fee	
<input type="checkbox"/> Multiple Dependent Claims					\$140	=				1502	470	2502	235	Design Issue Fee	
Large Entity		Small Entity		Fee Description				1503	630	2503	315	Plant Issue Fee			
Fee Code	Fee (\$)	Fee Code	Fee (\$)					1460	130	1460	130	Petitions to the Commissioner			
1202	18	2202	9	Claims in excess of 20				1806	180	1806	180	IDS Submission			
1201	84	2201	42	Independent claims in excess of 3				8021	40	8021	40	Assignment	40.00		
1203	280	2203	140	Multiple dependent claim, if not paid				1801	750	2801	375	For Filing RCE			
1204	84	2204	42	*Reissue independent claims over original patent				1802	900	1802	900	Expedited Design			
1205	18	2205	9	*Reissue claims in excess of 20 and over original patent				OTHER (indicate below):							
<b>SUBTOTAL (2)</b>					<b>\$351.00</b>										
* or number previously paid, if greater; For Reissues, see above								<b>SUBTOTAL (3)</b>					<b>\$40.00</b>		

Name	Steven B. Kelber	Registration No.		30,073	
Signature		Date	September 29, 2003	Telephone	202-861-3900
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